
FoundationOne®Liquid CDx Report Interpretation

April 2022

Components of the current FMI report | Claims and PS



US and Japan F1CDx or F1LCDx reports

Claims page

Professional Services



EU, RoW, USZ F1CDx or F1LCDx; F1Heme (all regions)

Professional Services

Claims vs. Professional Services



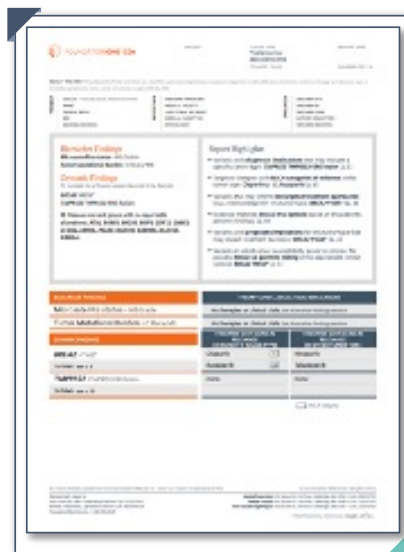
Claims page



- An **approved set** of disease-alteration-therapy associations and/or reportable alterations
- Different versions due to FDA and PMDA
- Generated automatically



Professional Services



- **Evolving** disease-alteration-therapy associations based on drug approval and evidence
- All reportable and VUS alterations
- Content updated by TOCR; report generation largely automated



Common mismatches between Claims and PS



Therapy associations



Resistance



Alteration renaming

Professional Services Summary Page Supports Guideline-Based Decision Making

Interpretive content provided in professional services section

- 1

Report Highlights

Highlights key actionable findings
- 2

Therapies with Clinical Benefit

Therapies for each associated genomic finding are listed in alphabetical order within your patient’s tumor type and other tumor types
- 3

Clinical Trials

Identifies trials based on your patient’s unique genomic profile with page number for quick reference
- 4

NCCN Categories of Evidence and Consensus

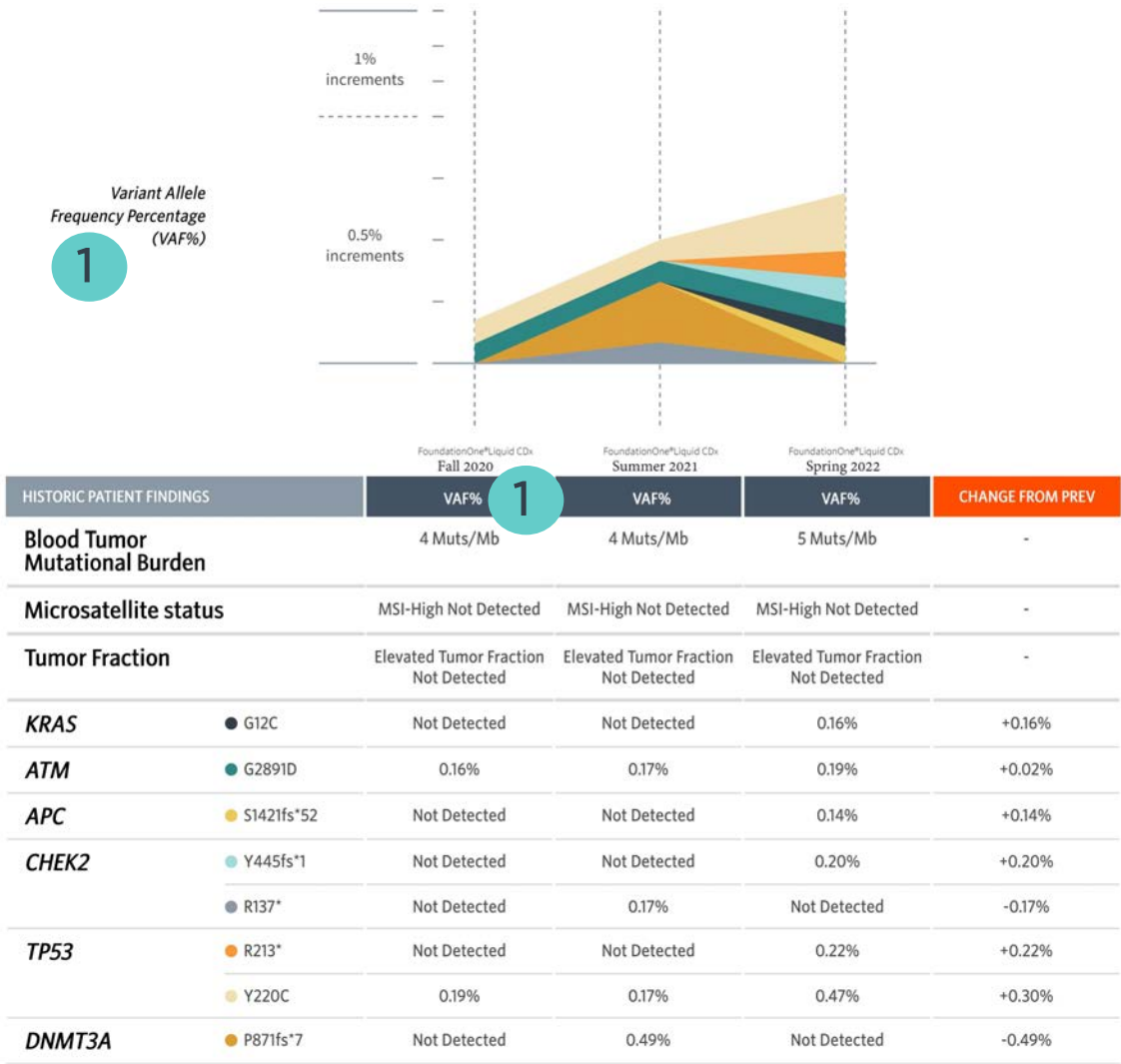
Associated NCCN Category that has been assigned to the therapy listed within your patient’s tumor type

BIOMARKER FINDINGS		THERAPY AND CLINICAL TRIAL IMPLICATIONS	
Blood Tumor Mutational Burden - 6 Muts/Mb		No therapies or clinical trials. See Biomarker Findings section	
Microsatellite status - MSI-High Not Detected		MSI-High not detected. No evidence of microsatellite instability in this sample (see Appendix section).	
Tumor Fraction - Elevated Tumor Fraction Not Detected		Tumor fraction is considered elevated when ctDNA levels are high enough that aneuploidy can be detected. The fact that elevated tumor fraction was not detected in this specimen indicates the possibility of lower levels of ctDNA but does not compromise confidence in any reported alterations. However, in the setting of a negative liquid biopsy result, orthogonal testing of a tissue specimen should be considered if clinically indicated (see Biomarker Findings section).	
GENOMIC FINDINGS		THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)	THERAPIES WITH CLINICAL RELEVANCE (IN OTHER TUMOR TYPE)
BRCA2 - L1908fs*2		Olaparib 1	Niraparib
		Rucaparib 2A	Talazoparib
10 Trials see p. 9			

Biomarker Findings	1 Report Highlights
Blood Tumor Mutational Burden - 6 Muts/Mb Microsatellite status - MSI-High Not Detected Tumor Fraction - Elevated Tumor Fraction Not Detected	<ul style="list-style-type: none">Targeted therapies with NCCN categories of evidence in this tumor type: Olaparib (p. 7), Rucaparib (p. 7)Variants that may inform nontargeted treatment approaches (e.g., chemotherapy) in this tumor type: BRCA2 L1908fs*2 (p. 5)Evidence-matched clinical trial options based on this patient's genomic findings: (p. 9)Variants with prognostic implications for this tumor type that may impact treatment decisions: BRCA2 L1908fs*2 (p. 5)Variants in select cancer susceptibility genes to consider for possible follow-up germline testing in the appropriate clinical context: BRCA2 L1908fs*2 (p. 5)Variants that may represent clonal hematopoiesis and may originate from non-tumor sources: ASXL1 R693* (p. 6)
Genomic Findings <i>For a complete list of the genes assayed, please refer to the Appendix.</i> BRCA2 L1908fs*2 FGFR2 G338E ASXL1 R693*	
† See About the Test in appendix for details.	

FoundationOne®Liquid CDx Reporting of Patient Historic Findings

1 Variant Allele Frequency (VAF) Percentage
Represents the fraction of sequencing reads in which the variant is observed



Current as of April 2022.

FoundationOne®Liquid CDx

Examples of DO-Specific Report Slides

Non-Small Cell Lung Cancer With *EGFR* Mutation

FoundationOne®Liquid CDx Professional Services Summary Page

Lung Cancer

- 1 Biomarker and Genomic Findings
- 2 Report Highlights
- 3 Findings and Actionability
- 4 NCCN Category of Evidence
- 5 Potential Resistance to Indicated Therapy Warning
- 6 Clinical Trials
- 7 Variants That May Represent Clonal Hematopoiesis
- 8 Findings Without Reportable Therapeutic or Clinical Trial Options

Current as of February 2, 2022.

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FOUNDATIONONE® LIQUID CDx

PATIENT

TUMOR TYPE

Lung non-small cell lung carcinoma (NOS)

REPORT DATE

ABOUT THE TEST FoundationOne®Liquid CDx is a next generation sequencing (NGS) assay that identifies clinically relevant genomic alterations in circulating cell-free DNA. Interpretive content on this page and subsequent pages is provided as a professional service, and is not reviewed or approved by the FDA.

PATIENT	DISEASE	Lung non-small cell lung carcinoma (NOS)	PHYSICIAN	ORDERING PHYSICIAN		SPECIMEN	SPECIMEN ID	
	NAME			MEDICAL FACILITY			SPECIMEN TYPE	
	DATE OF BIRTH			ADDITIONAL RECIPIENT			DATE OF COLLECTION	
	SEX			MEDICAL FACILITY ID			SPECIMEN RECEIVED	
	MEDICAL RECORD #			PATHOLOGIST				

1 Biomarker Findings

Blood Tumor Mutational Burden - 1 Muts/Mb
Microsatellite status - MSI-High Not Detected
Tumor Fraction - Elevated Tumor Fraction

Genomic Findings
For a complete list of the genes assayed, please refer to the Appendix.
EGFR exon 19 deletion (E746_S752>I), C797S
PIK3CA E542K, E545K
CTNNB1 S37C
PTEN S59*
DNMT3A R729W
TP53 E68*

* See About the Test in appendix for details.

2 Report Highlights

- Targeted therapies with NCCN categories of evidence in this tumor type: Dacomitinib (p. 10), Erlotinib (p. 11), Gefitinib (p. 12)
- Targeted therapies with potential resistance based on this patient's genomic findings: **Osimertinib** (p. 13)
- Evidence-matched clinical trial options based on this patient's genomic findings: (p. 15)
- Variants that may represent clonal hematopoiesis and may originate from non-tumor sources: **DNMT3A** R729W (p. 8)

3 Findings and Actionability

BIOMARKER FINDINGS

Blood Tumor Mutational Burden - 1 Muts/Mb

Microsatellite status - MSI-High Not Detected

Tumor Fraction - Elevated Tumor Fraction

4 NCCN Category of Evidence

THERAPY AND CLINICAL TRIAL IMPLICATIONS

No therapies or clinical trials. See Biomarker Findings section

MSI-High not detected. No evidence of microsatellite instability in this sample (see Appendix section).

Tumor fraction is considered elevated when ctDNA levels are high enough that aneuploidy can be detected. There is higher sensitivity for identifying genomic alterations and a lower risk of false negative results in specimens with elevated tumor fraction; the positive percent agreement observed between liquid and tissue for defined short variants.

5 Potential Resistance to Indicated Therapy Warning

GENOMIC FINDINGS	NAF %
EGFR - exon 19 deletion (E746_S752>I)	8.7%
C797S	0.25%

6 Clinical Trials

10 Trials see p. 17

GENOMIC FINDINGS	NAF %
PIK3CA - E542K	0.21%
E545K	0.65%

7 Variants That May Represent Clonal Hematopoiesis

10 Trials see p. 19

GENOMIC FINDINGS	NAF %
CTNNB1 - S37C	2.4%

10 Trials see p. 15

GENOMIC FINDINGS	NAF %
PTEN - S59*	3.4%

10 Trials see p. 21

8 Findings Without Reportable Therapeutic or Clinical Trial Options

VARIANTS THAT MAY REPRESENT CLONAL HEMATOPOIESIS (CH)

Genomic findings below may include nontumor somatic alterations, such as CH. The efficacy of targeting such nontumor somatic alterations is unknown. This content should be interpreted based on clinical context. Refer to appendix for additional information on CH.

DNMT3A - R729W p. 8

5 Potential Resistance to Indicated Therapy Warning

THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)	THERAPIES WITH CLINICAL RELEVANCE (IN OTHER TUMOR TYPE)
Dacomitinib <input type="checkbox"/>	None
Erlotinib <input type="checkbox"/>	
Gefitinib <input type="checkbox"/>	
Osimertinib <input checked="" type="checkbox"/>	
None	Everolimus
	Temsirolimus
None	None
None	None

☒ Extensive evidence showing variant(s) in this sample may confer resistance to this therapy ☐ NCCN category

8 Findings Without Reportable Therapeutic or Clinical Trial Options

GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIAL OPTIONS

For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

DNMT3A - R729W p. 8 **TP53** - E68* p. 9

FoundationOne®Liquid CDx Patient Historic Findings

Lung Cancer

1 Variant Allele Frequency Percentage

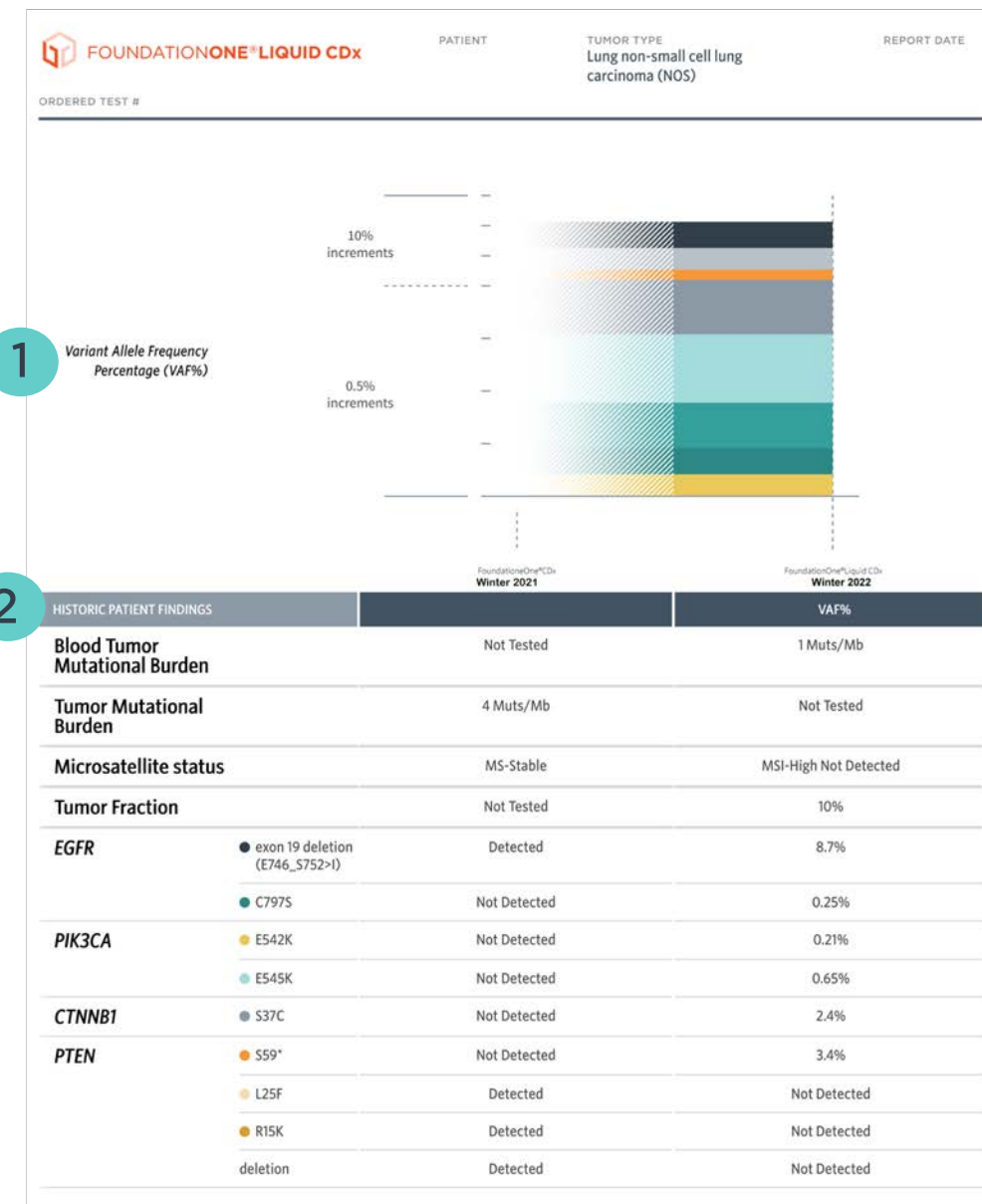
VAF% for short variants in all liquid test types are plotted on a stacked bar graph in chronological order

- Rearrangement VAFs and VAFs from tissue testing are not included in graph

2 Patient Historic Findings Table

In addition to genomic alterations, the patient historic findings table shows tumor mutational burden, blood tumor mutational burden, microsatellite status, and tumor fraction

VAFs from liquid biopsy are also shown in the patient historic findings table



FoundationOne®Liquid CDx: Biomarker and Genomic Findings

Lung Cancer

1 Biomarker Findings

- Result
- Potential Treatment Strategies (pre-clinical and clinical evidence)
- Frequency and Prognosis (disease-specific)
- Finding Summary (result, relevance)

2 Genomic Findings

- Gene Variant Information
- Potential Treatment Strategies: Clinical relevance
- Frequency and Prognosis: In the specimen tumor type and/or other tumor types
- Finding Summary: Gene, function, biological implication of the alteration

FOUNDATIONONE® LIQUID CDx

PATIENT

TUMOR TYPE
Lung non-small cell lung carcinoma (NOS)

REPORT DATE

ORDERED TEST #

1

BIOMARKER FINDINGS

<p>BIOMARKER</p> <p>Blood Tumor Mutational Burden</p> <p>RESULT 1 Muts/Mb</p>	<p>combination with a CTLA-4 inhibitor⁴.</p> <p>FREQUENCY & PROGNOSIS NSCLC harbors a median bTMB of 16.8 Muts/Mb (range 1.9-52.5 Muts/Mb)³. Retrospective analysis of the Phase 3 OAK and Phase 2 POPLAR trials for patients with advanced or metastatic non-small cell lung cancer (NSCLC) reported that</p>	<p>prognostic association of TMB and/or PD-L1 status with survival has been reported in patients with lung SCC⁸⁻⁹.</p> <p>FINDING SUMMARY Blood tumor mutational burden (bTMB, also known as mutation load) is a measure of the number of somatic protein-coding base</p>
<p>BIOMARKER</p> <p>Tumor Fraction</p> <p>RESULT Elevated Tumor Fraction</p>	<p>approaches to address specific tumor fraction levels. In the research setting, changes in tumor fraction estimates have been associated with treatment duration and clinical response and may be a useful indicator for future cancer</p>	<p>FINDING SUMMARY Tumor fraction provides an estimate of the percentage of ctDNA present in a cell-free DNA (cfDNA) sample. The tumor fraction estimate for this sample is based on the observed level of</p>

2

GENOMIC FINDINGS

ORDERED TEST #

<p>GENE</p> <p>EGFR</p> <p>ALTERATION exon 19 deletion (E746_S752>I), C797S</p> <p>TRANSCRIPT ID NM_005228, NM_005228</p> <p>CODING SEQUENCE EFFECT 2235_2255>AAT, 2390G>C</p>	<p>including 3 confirmed PRs and 3 unconfirmed PRs⁵⁴⁻⁵⁵. In a Phase 1/2 trial for advanced NSCLC, the brain-penetrant third-generation EGFR TKI lazertinib enabled ORRs of 54% (69/127) for all evaluable patients and 44% (8/18, intracranial) for patients with brain metastases⁵⁶. The Phase 3 IMPower150 study showed that the addition of atezolizumab to bevacizumab plus chemotherapy treatment also had clinical efficacy for patients with EGFR-mutated or ALK-rearranged metastatic NSCLC⁵⁷; therefore, the patient's clinical context should be considered.</p>	<p>resistance to EGFR inhibitors like osimertinib⁷⁵.</p> <p>FREQUENCY & PROGNOSIS EGFR mutation has been reported in 12-36% of lung adenocarcinomas⁷⁶⁻⁷⁸ and in 4% of lung squamous cell carcinomas⁷⁹. EGFR protein expression/overexpression has been reported in up to 70% of NSCLC cases⁸⁰⁻⁸⁵. In addition, expression of EGFR protein has been shown to be higher in lung squamous cell carcinoma samples as compared to lung adenocarcinoma⁸⁶⁻⁸⁷. In patients with lung adenocarcinoma, EGFR mutation was a predictor of poor overall survival⁸⁸⁻⁸⁹. However, EGFR mutations have been reported to predict improved survival in patients with resected Stage 1-3 lung adenocarcinoma⁹⁰ or resected Stage 1 NSCLC⁹¹.</p>
<p>POTENTIAL TREATMENT STRATEGIES</p> <p>— Targeted Therapies —</p> <p>For patients with non-small cell lung cancer, EGFR activating mutations may predict sensitivity to EGFR TKIs, including erlotinib³⁸, gefitinib³⁹, afatinib⁴⁰, dacomitinib⁴¹, and osimertinib⁴²; however, the data for patients with other tumor types are limited⁴³⁻⁴⁸. The Phase 1 CHRYSALIS study of amivantamab monotherapy or in combination with lazertinib for the treatment of EGFR-mutated non-small cell lung cancer (NSCLC) has produced encouraging preliminary</p>	<p>— Potential Resistance —</p> <p>Clinical studies have shown C797S mutations to result in resistance to third-generation inhibitors such as osimertinib; preclinical studies suggest that C797S is associated with resistance to other similar inhibitors such as rociletinib and EGFR16⁵⁸⁻⁶³. Cetuximab combination approaches have shown preclinical and clinical efficacy for EGFR L858 or exon 19 deletion co-occurring with T790M and/or C797S⁶⁴⁻⁶⁶. A retrospective study of brigatinib combined with cetuximab reported a</p>	<p>FINDING SUMMARY EGFR encodes the epidermal growth factor receptor, which belongs to a class of proteins called receptor tyrosine kinases. In response to signals from the environment, EGFR passes biochemical messages to the cell that stimulate it</p>

Current as of February 2, 2022.

FoundationOne®Liquid CDx: Therapeutic Options

Section

Lung Cancer

Therapies associated with resistance, with clinical benefit in patient's tumor type, and with clinical benefit in other tumor type

- FDA Approved Indications
- Gene Association
- Supporting Data (*Clinical evidence by phase*)

FOUNDATIONONE®LIQUID CDx		PATIENT	TUMOR TYPE	REPORT DATE
			Lung non-small cell lung carcinoma (NOS)	
ORDERED TEST #		THERAPIES WITH CLINICAL BENEFIT		IN OTHER TUMOR TYPE
Everolimus		AREAS OF THERAPEUTIC USE		lung cancer (NSCLC) showed modest activity ³⁸⁶ , but a Phase 2 study of everolimus in combination with docetaxel did not show any added benefit of everolimus in an unselected population ³⁸⁷ . A Phase 1 study evaluated the addition of everolimus to carboplatin and paclitaxel +/- bevacizumab in advanced NSCLC and found the
Assay findings association		Everolimus is an orally available mTOR inhibitor that is FDA approved to treat renal cell carcinoma (RCC) following antiangiogenic therapy; pancreatic neuroendocrine tumors; and well-differentiated non-functional neuroendocrine tumors of the lung or		
PIK3CA E542K, E545K				

ORDERED TEST #		THERAPIES ASSOCIATED WITH RESISTANCE		IN PATIENT'S TUMOR TYPE
Osimertinib		AREAS OF THERAPEUTIC USE		resistance experienced an ORR of 21% and a median PFS of 2.8 months ⁴² . A Phase 1b/2 study evaluating osimertinib in combination with the CD73 inhibitor oleclumab for patients with advanced EGFR-mutated, T790M-negative NSCLC reported an ORR of 19% (4/19), a DCR of 81%, and mPFS of 11 months (Kim et al., 2021)
✗ Resistance of variant(s) to associated therapy is likely		Osimertinib is an irreversible EGFR TKI that is selective for EGFR TKI-sensitizing mutations and the EGFR T790M mutation. It is FDA approved in various treatment settings for patients with non-small cell lung cancer (NSCLC) whose tumors have EGFR exon 19 deletions,		act CT163). A Phase 2 study of osimertinib
Assay findings association				I-naïve patients with metastatic or recurrent
EGFR				uncommon EGFR mutations reported a 50%

ORDERED TEST #		THERAPIES WITH CLINICAL BENEFIT		IN PATIENT'S TUMOR TYPE
Dacomitinib		AREAS OF THERAPEUTIC USE		line dacomitinib compared with gefitinib (median OS, 34.1 vs. 26.8 months, HR=0.760; median PFS, 14.7 vs. 9.2 months, HR=0.59) ^{98,337} ; median OS was 34.1 to 36.7 months and ORR was 74.9% to 79.3%, depending on the dosing regimen ³³⁸ . A pooled subgroup analysis of patients with NSCLC with activating EGFR mutations reported improved clinical efficacy with dacomitinib treatment compared with erlotinib (median PFS, 14.6 vs. 9.6 months, HR=0.717; median OS, 26.6 vs. 23.2 months, HR=0.737) ³³⁹ . Reduced efficacy of dacomitinib treatment in patients with NSCLC harboring the EGFR T790M mutation has been reported in multiple studies ³⁴⁰⁻³⁴² . A Phase 1 trial of combination dacomitinib and a MEK1/2
Assay findings association		Dacomitinib is a second generation irreversible tyrosine kinase inhibitor that targets the kinase domains of EGFR, ERBB2/HER2, and ERBB4/HER4. It is FDA approved for the first-line treatment of patients with metastatic non-small cell lung cancer (NSCLC) with EGFR exon 19 deletion or exon 21 L858R substitution mutations. Please see the drug label for full prescribing information.		ents harboring L861Q, G719X, or S768I
EGFR exon 19 deletion (E746_S752>I), C797S		GENE ASSOCIATION		d ORRs of 78% (7/9), 53% (10/19), and 38% respectively ³⁷⁷ . A Phase 2 trial of osimertinib in
		EGFR activating mutations may indicate sensitivity to afatinib or dacomitinib for patients with non-small cell lung cancer ^{40-41,333-334} , whereas data for patients with		with bevacizumab versus osimertinib
				for patients with untreated advanced non-
				g cancer (NSCLC) harboring EGFR del19 or
				ed no difference in ORR (82% vs 86%) and
				22.1 vs 20.2 months, HR 0.862 p=0.213) ³⁷⁸ .
				BOOSTER study of osimertinib in
				with bevacizumab versus osimertinib
				for patients with advanced NSCLC with
				zing mutations (exon 19 del or L858R) and
				gression on prior EGFR TKI reported no
				ORR (55% vs 55%), median OS (24.0 vs 24.2

Current as of February 2, 2022.

FoundationOne®Liquid CDx: Clinical Trials Section

Lung Cancer

Clinical Trials

- **Gene, alteration**
- **Rationale**
- **Trial Options:** Ordered by gene and prioritized based on age range, proximity to ordering medical facility, trial phase (favoring later phases), and whether trial information has been verified within the last 2 months

Select subset of currently available clinical trials for which the patient may be eligible. Includes: Trial ID, Title, Phase, Relevant Targets, and 10 closest locations to the ordering medical facility


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FOUNDATIONONE® LIQUID CDx		PATIENT	TUMOR TYPE Lung non-small cell lung carcinoma (NOS)	REPORT DATE
ORDERED TEST #		CLINICAL TRIALS		
IMPORTANT NOTE Clinical trials are ordered by gene and prioritized by (in order of descending priority): age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While		every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually updated and should be investigated by the physician or research staff. This is not a comprehensive list of all available clinical trials. Clinical		trials listed here may have additional enrollment criteria that may require medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see clinicaltrials.gov.
GENE CTNNB1		RATIONALE Based on clinical and preclinical evidence, tumors with activating CTNNB1 alterations may be		sensitive to mTOR inhibitors.
ALTERATION S37C				
NCT03065062		PHASE 1		
Study of the CDK4/6 Inhibitor Palbociclib (PD-0332991) in Combination With the PI3K/mTOR Inhibitor Gedatolisib (PF-05212384) for Patients With Advanced Squamous Cell Lung, Pancreatic, Head & Neck and Other Solid Tumors		TARGETS PI3K-alpha, PI3K-gamma, mTORC1, mTORC2, CDK4, CDK6		
LOCATIONS: Massachusetts				
NCT04250545		PHASE 1		
Testing of the Anti Cancer Drugs CB-839 HCl (Telaglenastat) and MLN0128 (Sapanisertib) in Advanced Stage Non-small Cell Lung Cancer		TARGETS mTORC1, mTORC2, GLS		
LOCATIONS: New York, California				
NCT04348292		PHASE 1		
Sirolimus and Durvalumab for the Treatment of Stage I-IIIa Non-small Cell Lung Cancer		TARGETS mTOR, PD-L1		
LOCATIONS: Georgia				
NCT02664935		PHASE 2		
National Lung Matrix Trial: Multi-drug Phase II Trial in Non-Small Cell Lung Cancer		TARGETS FGFRs, mTORC1, mTORC2, CDK4, CDK6, ALK, ROS1, AXL, TRKA, MET, TRKC, MEK, AKTs, EGFR, PD-L1, KIT, DDR2, VEGFRs, PDGFRA, FLT3, RET, TRKB		
LOCATIONS: Belfast (United Kingdom), Glasgow (United Kingdom), Aberdeen (United Kingdom), Wirral (United Kingdom), Cardiff (United Kingdom), Exeter (United Kingdom), Newcastle (United Kingdom), Manchester (United Kingdom), Leeds (United Kingdom), Bristol (United Kingdom)				
NCT01582191		PHASE 1		
A Phase 1 Trial of Vandetanib (a Multi-kinase Inhibitor of EGFR, VEGFR and RET Inhibitor) in Combination With Everolimus (an mTOR Inhibitor) in Advanced Cancer		TARGETS mTOR, EGFR, SRC, RET, VEGFRs		
LOCATIONS: Texas				

FoundationOne®Liquid CDx: Appendices

Lung Cancer

 FOUNDATIONONE®LIQUID CDx

PATIENT

TUMOR TYPE
Lung non-small cell lung carcinoma (NOS)

REPORT DATE

ORDERED TEST #

APPENDIX

Information Provided as a Professional Service

NOTE One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

IRS2 G879S and G882A	MED12 V1117G	MLL2 G2493E	RB1 rearrangement
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Variants of Unknown Significance (VUS)

Alterations not adequately characterized in the scientific literature and/or have unclear significance based on genomic context

**VUS are included for completeness should the alterations become clinically meaningful in the future.*

FoundationOne®Liquid CDx: Appendices (cont.)

INTENDED USE

FoundationOne Liquid CDx is a qualitative next generation sequencing based *in vitro* diagnostic test that uses targeted high throughput hybridization-based capture technology to detect and report substitutions, insertions and deletions (indels) in 311 genes, including rearrangements and copy number losses only in *BRCA1* and *BRCA2*. FoundationOne Liquid CDx utilizes circulating cell-free DNA (cfDNA) isolated from plasma derived from anti-coagulated peripheral whole blood of cancer patients collected in FoundationOne Liquid CDx cfDNA blood collection tubes included in the FoundationOne Liquid CDx Blood Sample Collection Kit. The test is intended to be used as a companion diagnostic to identify patients who may benefit from treatment with the targeted therapies listed in Table 1 in accordance with the approved therapeutic product labeling. Additionally, FoundationOne Liquid CDx is intended to provide tumor mutation profiling for substitutions and

WARNINGS AND PRECAUTIONS

1. Alterations reported may include somatic (not inherited) or germline (inherited) alterations; however, the test does not distinguish between germline and somatic alterations. If a reported alteration is suspected to be germline, confirmatory testing should be considered in the appropriate clinical context.
2. The test is not intended to replace germline testing or to provide information about cancer predisposition.
3. Patients for whom no companion diagnostic alterations are detected should be considered for confirmation with an FDA-approved tumor tissue test, if available.

TEST PRINCIPLE

The FoundationOne Liquid CDx assay is performed exclusively as a laboratory service using circulating cell-free DNA (cfDNA) isolated from plasma derived from anti-coagulated peripheral whole blood from patients with solid malignant neoplasms. The assay employs a single DNA extraction method to obtain cfDNA from plasma from whole blood. Extracted cfDNA undergoes whole-genome shotgun library construction and hybridization-based capture of 324 cancer-related genes. All coding exons of 311 genes are targeted; select intronic or non-coding regions are targeted in *BRCA1* and *BRCA2*. Hybridization-based capture is performed with

LIMITATIONS

1. For *in vitro* diagnostic use.
2. For prescription use only. This test must be ordered by a qualified medical professional in accordance with clinical laboratory regulations.
3. Genomic findings other than those listed in Table 1 of the intended use are not prescriptive or conclusive for labeled use of any specific therapeutic product.
4. A negative result does not rule out the presence of an alteration in the patient's tumor.
5. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as

LEVEL 1: COMPANION DIAGNOSTICS (CDx)

Clinical evidence should be presented from a prospectively designed clinical trial. Results can also be presented from a retrospective clinical bridging study demonstrating that the clinical endpoints are preserved using plasma samples in trials where enrollment was based on tissue test results. For follow-on markers, a clinical concordance study demonstrating non-inferiority to the original FDA-approved cfDNA-based companion diagnostic device (refer to Li, Meijuan. Statistical Methods for Clinical Validation of Companion Diagnostic Devices via an Interim Study. *Statistics in Research*. 8: 35-363, 2016) is

About FoundationOne®Liquid CDx

- Intended Use (FDA approved indications)
- Test Principle, Performance Characteristics, Limitations, Levels of Evidence

PERFORMANCE CHARACTERISTICS

Please refer to product label:
foundationmedicine.com/F1LCDx

FoundationOne®Liquid CDx: Appendices (cont.)

FOUNDATIONONE®LIQUID CDx

PATIENT

TUMOR TYPE

Lung non-small cell lung carcinoma (NOS)

REPORT DATE

ORDERED TEST #

APPENDIX

Genes assayed in FoundationOne®Liquid CDx

As part of its FDA-approved intended use, the FoundationOne Liquid CDx assay interrogates 311 genes, including 309 genes with complete exonic (coding) coverage and 2 genes with only select non-coding coverage (indicated with an *). Select genes and select exons (indicated in bold) are captured with increased sensitivity.

ABL1 Exons 4-9	ACVR1B	AKT1 Exon 3	AKT2	AKT3	ALK Exons 20-29	ALOX12B	AMER1 (FAM123B)	APC
AR	ARAF Exons 4, 5, 7, 11, 13, 15, 16	ARFRP1	ARID1A	ASXL1	ATM	ATR	ATRX	AURKA
AURKB	AXIN1	AXL	BAP1	BARD1	BCL2	BCL2L1	BCL2L2	BCL6
BCOR	BCORL1	BRAF Exons 11-18	BRCA1 Introns 2, 7, 8, 12, 16, 19, 20 Intron 2	BRCA2	BRD4	BRIP1	BTG1	BTG2
BTK Exons 2, 15	CT1orf30 (EMSY)	CT1orf39 (GSD4)	CALR	CARD11	CASP8	CBFB	CBL	CCND1
CCND2	CCND3	CCNE1	CD22	CD70	CD79A	CD79B	CD274 (PD-L1)	CDC73
CDH1	CDK12	CDK4	CDK6	CDK8	CDKN1A	CDKN1B	CDKN2A	CDKN2B
CDKN2C	CEBPA	CHEK1	CHEK2	CIC	CREBBP	CRKL	CSF1R	CSF3R
CTCF	CTNNA1	CTNNB1 Exon 3	CUL3	CUL4A	CXCR4	CYP17A1	DAXX	DDR1
DDR2 Exons 5, 17, 18	DIS3	DNMT3A	DOT1L	EED	EGFR	EP300	EPHA3	EPHB1
EPHB4	ERBB2	ERBB3 Exons 3, 6, 7, 8, 10, 12, 20, 21, 23, 24, 25	ERBB4	ERCC4	ERG	ERRF1	ESR1 Exons 4-8	EZH2 Exons 4, 16, 17, 18
FAM46C	FANCA	FANCC	FANCG	FANCL	FAS	FBXW7	FGF10	FGF12
FGF14	FGF19	FGF23	FGF3	FGF4	FGF6	FGFR1	FGFR2	FGFR3 Exons 7, 9 (alternative designation exon 10), 14, 18 GATA3
FGFR4	FH	FLCN	FLT1	FLT3 Exons 14, 15, 20	FOXL2	FUBP1	GABRA6	
GATA4	GATA6	GNAI1 Exons 4, 5	GNA13	GNAQ Exons 4, 5	GNAS Exons 1, 8	GRM3	GSK3B	H3F3A
HDAC1	HGF	HNF1A	HRAS Exons 2, 3	HSD3B1	ID3	IDH1 Exon 4	IDH2 Exon 4	IGF1R
IKBKE	IKZF1	INPP4B	IRF2	IRF4	IRS2	JAK1	JAK2 Exon 14	JAK3 Exons 5, 11, 12, 13, 15, 16
JUN	KDMSA	KDMSB	KDM6A	KDR	KEAP1	KEL	KIT Exons 8, 9, 11, 12, 13, 17	KLHL6
KMT2A (MLL)	KMT2D (MLL2)	KRAS	LTK	LYN	MAF	MAP2K1 (MEK1) Exons 2, 3	MAP2K2 (MEK2) Exons 2-4, 6, 7	MAP2K4
MAP3K1	MAP3K13							

Genes Assayed in FoundationOne®Liquid CDx
FDA-approved intended use list interrogates 324 genes, including 309 genes with complete exonic (coding) coverage and 15 genes with only select non-coding coverage; select genes and exons are captured with increased sensitivity

FoundationOne®Liquid CDx: Appendices (cont.)

FOUNDATIONONE®LIQUID CDx

PATIENT

TUMOR TYPE
Lung non-small cell lung carcinoma (NOS)

REPORT DATE

ORDERED TEST #

APPENDIX

Information Provided as a Professional Service

QUALIFIED ALTERATION CALLS (EQUIVOCAL)

All equivocal calls, regardless of alteration type, imply that there is adequate evidence to call the alteration with confidence. However, the repeatability of equivocal calls may be lower than non-equivocal calls.

PROFESSIONAL SERVICES FINDINGS

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. Note: A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

RANKING OF THERAPIES AND CLINICAL TRIALS

Ranking of Therapies in Summary Table

Therapies are ranked based on the following criteria: Therapies with clinical benefit (ranked alphabetically within each evidence category), followed by therapies associated with resistance (when applicable).

Ranking of Clinical Trials

Pediatric trial qualification → Geographical proximity → Later trial phase.

NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN) CATEGORIZATION

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) (www.nccn.org). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories, please refer to the NCCN Compendium®. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). © National Comprehensive Cancer Network, Inc. 2021. All rights reserved. To

view the most recent and complete version of the guidelines, go online to NCCN.org. NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any way.

LEVEL OF EVIDENCE NOT PROVIDED

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

NO GUARANTEE OF CLINICAL BENEFIT

This report makes no promises or guarantees that a particular drug will be effective in the treatment of disease in any patient. This report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

NO GUARANTEE OF REIMBURSEMENT

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne Liquid CDx.

TREATMENT DECISIONS ARE THE RESPONSIBILITY OF THE PHYSICIAN

Drugs referenced in this report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating physician. Indeed, the information in this report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this test, or the information contained in this report.

Certain sample of variant characteristics may result in reduced sensitivity. These include: low sample quality, deletions and insertions >40bp, or repetitive/high homology sequences. FoundationOne Liquid CDx is performed using cell-free DNA, and as such germline events may not be reported.

REPORT HIGHLIGHTS

The Report Highlights includes select genomic and therapeutic information with potential impact on patient care and treatment that is specific to the genomics and tumor type of the sample analyzed. This section may highlight information including targeted therapies with potential sensitivity or resistance; evidence-matched clinical trials; and variants with potential diagnostic, prognostic, nontargeted treatment, or hematopoiesis implications in the Report Highlights. Findings included in the Report Highlights are not to be considered in the patient information. Treatment decisions are the responsibility of the physician.

TUMOR MUTATION

Tissue TMB and blood-based TMB are calculated from the number of synonymous single-nucleotide substitutions, insertions and deletions in the tumor genome sampled, after adjusting for likely oncogenic driver mutations. Tissue TMB is calculated as the number of mutations per megabase (Muts/Mb) based on variants with ≥0.5% allele frequency.

TUMOR FRACTION

Tumor fraction is the proportion of tumor DNA (ctDNA) in the total DNA (ctDNA + cfDNA) sample. The tumor fraction is calculated based on the ratio of the number of mutations in the tumor sample to the number of mutations in the total sample. Aneuploidy in the sample may be considered elevated enough that aneuploidy may be significantly distinct from non-tumor samples.

MICROSATELLITE INSTABILITY (MSI)

Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the tumor genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor. The MSI algorithm is based on genome wide analysis of 1765 microsatellite loci and not based on the 5 or 7 MSI loci described in current clinical practice guidelines for solid tissue testing.

VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING

The variants indicated for consideration of follow-up germline testing are listed in the Report Highlights.

SELECT ABBREVIATIONS

ABBREVIATION	DEFINITION
CR	Complete response
DCR	Disease control rate
DNMT	DNA methyltransferase
HR	Hazard ratio
ITD	Internal tandem duplication
MMR	Mismatch repair
Muts/Mb	Mutations per megabase
NOS	Not otherwise specified
ORR	Objective response rate
OS	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
TKI	Tyrosine kinase inhibitor

Information Provided as a Professional Service

Several categories of information:

- Qualified Alteration Calls, Rankings, Qualifiers
- Responsibility of Physician for Treatment Decisions
- Variants to Consider for Follow-up Germline Testing
- Select Abbreviations

Current as of February 2, 2022.

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FoundationOne®Liquid CDx: Appendices (cont.)

FOUNDATIONONE®LIQUID CDx		PATIENT	TUMOR TYPE	REPORT DATE
			Lung non-small cell lung carcinoma (NOS)	
ORDERED TEST #		APPENDIX References Associated with Professional Services Content		
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References Associated With Professional Services Content

References used in curation of the report content

Prostate Cancer with *BRCA2* Mutation

Prostate Cancer

2 Report Highlights

3 Findings and Actionability

4 NCCN Category of Evidence

5 Clinical Trials

6 Variants to Consider for Follow-up Germline Testing

7 Variants That May Represent Clonal Hematopoiesis

8 Findings Without Reportable Therapeutic or Clinical Trial Options

Current as of January 18, 2022.



FOUNDATION
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FoundationOne®Liquid CDx Patient Historic Findings

Prostate Cancer

1 Variant Allele Frequency Percentage

VAF% for short variants in all liquid test types are plotted on a stacked bar graph in chronological order

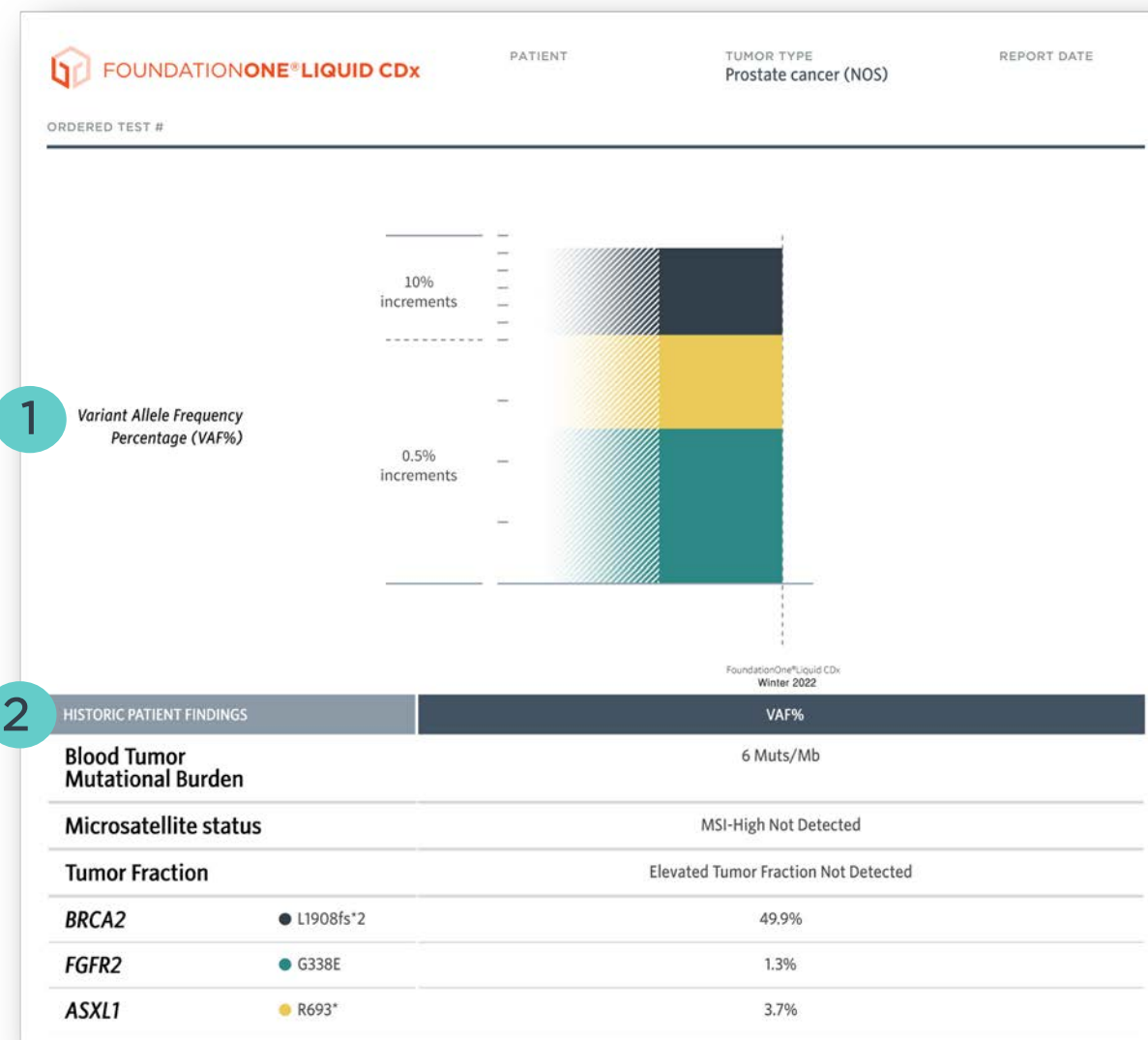
- Rearrangement VAFs and VAFs from tissue testing are not included in graph

2 Patient Historic Findings Table

In addition to genomic alterations, the patient historic findings table shows tumor mutational burden, blood tumor mutational burden, microsatellite status, and tumor fraction

VAFs from liquid biopsy are also shown in the patient historic findings table

Up to five previous tests may be shown



FoundationOne®Liquid CDx: Biomarker and Genomic Findings

Prostate Cancer

1 Biomarker Findings

- Result
- Potential Treatment Strategies (pre-clinical and clinical evidence)
- Frequency and Prognosis (disease-specific)
- Finding Summary (result, relevance)

2 Genomic Findings

- Gene Variant Information
- Potential Treatment Strategies: Clinical relevance
- Frequency and Prognosis: In the specimen tumor type and/or other tumor types
- Finding Summary: Gene, function, biological implication of the alteration

FOUNDATIONONE® LIQUID CDx PATIENT TUMOR TYPE Prostate cancer (NOS) REPORT DATE

ORDERED TEST #

1 BIOMARKER FINDINGS

BIOMARKER
Blood Tumor Mutational Burden

RESULT
6 Muts/Mb

either CTLA4 inhibitors or chemotherapy, with reported high bTMB cutpoints ranging from 6 to 16 Muts/Mb¹. In HNSCC, a Phase 3 trial showed that bTMB ≥ 16 Muts/Mb (approximate equivalency ≥ 8 Muts/Mb as measured by this assay) was associated with improved survival from treatment with a PD-L1 inhibitor alone or in combination with chemotherapy².

known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations from circulating tumor DNA in blood. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma³⁻⁹ and cigarette smoke in lung cancer³¹, and gastrointestinal cancer³².

FINDING SUMMARY
Tumor fraction provides an estimate of the percentage of ctDNA present in a cell-free DNA (cfDNA) sample. The tumor fraction estimate for this sample is based on the observed level of aneuploid instability. The tumor fraction algorithm utilized for FoundationOne Liquid CDx uses the allele frequencies of approximately 1,000 single-nucleotide variants (SNVs) to estimate the tumor fraction. The tumor fraction estimate for this sample is 0.15, indicating that approximately 15% of the ctDNA in the sample is derived from the tumor.

BIOMARKER
Tumor Fraction

RESULT
Elevated Tumor Fraction Not Detected

be overinterpreted or compared from one blood draw to another. There are currently no targeted approaches to address specific tumor fraction levels. In the research setting, changes in tumor fraction estimates have been associated with treatment duration and clinical response and may be a useful indicator for future cancer management¹⁹⁻²⁴.

POTENTIAL TREATMENT STRATEGIES
Targeted Therapies

FREQUENCY & PROGNOSIS

FOUNDATIONONE® LIQUID CDx PATIENT TUMOR TYPE Prostate cancer (NOS) REPORT DATE

ORDERED TEST #

2 GENOMIC FINDINGS

GENE
BRCA2

ALTERATION
L1908fs*2

TRANSCRIPT ID
NM_000059

CODING SEQUENCE EFFECT
5722_5723delCT

included 9 patients with BRCA1/2-mutated solid tumors, 2 patients with BRCA1-mutated cancers (1 with ovarian serous carcinoma and 1 with oral squamous cell carcinoma) achieved PRs, and a third patient with ovarian serous carcinoma harboring mutations in BRCA1 and TP53 experienced 14% tumor shrinkage prior to disease progression⁶².

— Nontargeted Approaches —
Alterations in DNA repair genes such as BRCA1, BRCA2, ATM, PALB2, FANCA, RAD51D, CHEK2, and CDK12 have been reported to be predictive for sensitivity to platinum agents in castration resistant prostate cancer (CRPC) (NCCN Prostate Cancer Guidelines v2.2021)⁶³⁻⁶⁶. Inactivation of BRCA1 or BRCA2 may confer sensitivity to PARP inhibitors³⁶⁻⁵³ or ATR inhibitors⁵⁴⁻⁵⁶.

OS (16.9 vs. 21.8 months, HR=1.64)⁹⁴. For patients with metastatic castration-resistant prostate cancer (mCRPC), germline BRCA2 mutations were an independent marker of poor prognosis (CSS 17.4 vs. 33.2 months, HR=2.11) in 1 study⁹⁵. Germline BRCA2 mutations in mCRPC were associated with relative benefit from first-line abiraterone or enzalutamide compared with taxanes (CSS 24.0 vs. 17.0 months, PFS on the second systemic therapy 18.9 vs. 8.6 months) in a large prospective cohort study⁹⁶. Three patients with non-neuroendocrine prostate cancer harboring BRCA2 mutations derived clinical benefit from treatment with platinum-based chemotherapy^{63,96}.

POTENTIAL TREATMENT STRATEGIES
— Targeted Therapies —
Alterations that inactivate BRCA1 or BRCA2 may confer sensitivity to PARP inhibitors³⁶⁻⁵³ or ATR inhibitors⁵⁴⁻⁵⁶.

FINDING SUMMARY

FoundationOne®Liquid CDx: Potential Germline Implications

Prostate Cancer

1 Potential Germline Implications

Reported for genes identified by the American College of Medical Genetics and Genomics for reporting of incidental findings in clinical exome and genomic sequencing¹

Recommendation for follow-up germline testing in the appropriate clinical context included

 FOUNDATIONONE® LIQUID CDx

PATIENT

TUMOR TYPE
Prostate cancer (NOS)

REPORT DATE

ORDERED TEST #

GENE
BRCA2

ALTERATION
L1908fs*2

TRANSCRIPT ID
NM_000059

CODING SEQUENCE EFFECT
5722_5723delCT

included 9 patients with BRCA1/2-mutated solid tumors, 2 patients with BRCA1-mutated cancers (1 with ovarian serous carcinoma and 1 with oral squamous cell carcinoma) achieved PRs, and a third patient with ovarian serous carcinoma harboring mutations in BRCA1 and TP53 experienced 14% tumor shrinkage prior to disease progression⁶².

— **Nontargeted Approaches** —
Alterations in DNA repair genes such as BRCA1, BRCA2, ATM, PALB2, FANCA, RAD51D, CHEK2, and CDK12 have been reported to be predictive for sensitivity to platinum agents in castration resistant prostate cancer (CRPC) (NCCN Prostate Cancer Guidelines v2.2021)⁶³⁻⁶⁶. Inactivation of BRCA2 may also predict sensitivity to DNA-damaging drugs such as trabectedin, lurbicetin, and the platinum chemotherapies cisplatin and carboplatin⁶⁷⁻⁷⁷.

FREQUENCY & PROGNOSIS
BRCA2 mutations have been identified in 3-6% of primary and 6-7% of metastatic prostate cancer specimens⁷⁸⁻⁸⁰, with deleterious germline mutations present in 5% of men with prostate cancer⁸¹. BRCA2 homozygous deletion has been reported in 3-6% of prostate adenocarcinoma cases⁸²⁻⁸⁴. The positive predictive value of prostate specific antigen (PSA) levels was found to be higher in patients with BRCA1/2 mutations than in the general population⁸⁵. BRCA2 germline mutations predict poor prognosis for patients with prostate cancer (NCCN Prostate Cancer Guidelines v2.2021)⁸⁶⁻⁹² and have been associated with attributes of aggressive prostate cancer at diagnosis, including high Gleason score, nodal involvement, advanced tumor stage, and metastatic spread⁸⁶. Germline BRCA2 mutation carriers had a significantly shorter cause-specific survival (CSS, 8.6 vs. 15.7 years) than noncarriers⁸⁶. Following radical conventional treatment for localized prostate cancer, patients with germline BRCA1/2 mutations experienced significantly shorter metastasis-free survival (HR=2.36) and CSS (HR=2.17) than noncarriers⁹³. Patients with germline or somatic BRCA2 mutations have been reported to experience significantly shorter time to castration resistant disease progression on first-line abiraterone or enzalutamide treatment (9.4 vs. 18.4 months, HR=1.58) and significantly shorter

OS (16.9 vs. 21.8 months, HR=1.64)⁹⁴. For patients with metastatic castration-resistant prostate cancer (mCRPC), germline BRCA2 mutations were an independent marker of poor prognosis (CSS 17.4 vs. 33.2 months, HR=2.11) in 1 study⁹⁵. Germline BRCA2 mutations in mCRPC were associated with relative benefit from first-line abiraterone or enzalutamide compared with taxanes (CSS 24.0 vs. 17.0 months, PFS on the second systemic therapy 18.9 vs. 8.6 months) in a large prospective cohort study⁹⁵. Three patients with non-neuroendocrine prostate cancer harboring BRCA2 mutations derived clinical benefit from treatment with platinum-based chemotherapy^{63,96}.

FINDING SUMMARY
The BRCA2 tumor suppressor gene encodes a protein that regulates the response to DNA damage⁹⁷. Inactivating mutations in BRCA2 can lead to the inability to repair DNA damage and loss of cell cycle checkpoints, which can lead to tumorigenesis⁹⁸. Alterations such as seen here may disrupt BRCA2 function or expression^{97,99-104}.

POTENTIAL GERMLINE IMPLICATIONS
One or more of the BRCA2 variants observed here has been described in the ClinVar database as a likely pathogenic or pathogenic germline mutation (by an expert panel or multiple submitters) associated with hereditary breast and ovarian cancer syndrome (ClinVar, Sep 2021)¹⁰⁵. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. Inactivating germline mutations in BRCA1 or BRCA2 are associated with autosomal dominant hereditary breast and ovarian cancer¹⁰⁶⁻¹⁰⁷, and the lifetime risk of breast and ovarian cancer in BRCA2 mutation carriers has been estimated to be as high as >80% and 23%, respectively¹⁰⁸. Elevated risk for other cancer types, including gastric, pancreatic, prostate, and colorectal, has also been identified, with an increase in risk ranging from 20 to 60%¹⁰⁹. The estimated prevalence of deleterious germline BRCA1/2 mutations in the general population is between 1:400 and 1:800, with an approximately 10-fold higher prevalence in the Ashkenazi Jewish population¹¹⁰⁻¹²⁵. In the appropriate clinical context, germline testing of BRCA2 is recommended.

Current as of January 18, 2022.

1. National Center for Biotechnology Information. Clinvar. Accessed December 2020. <https://www.ncbi.nlm.nih.gov/clinvar/>.

FoundationOne®Liquid CDx: Therapeutic Options Section

Prostate Cancer

Therapies With Clinical Benefit in Patient's Tumor Type and in Other Tumor Type

- FDA Approved Indications
- Gene Association
- Supporting Data (*Clinical evidence by phase*)

Includes details on therapies to which the patient's cancer may be sensitive based on the genomic profile

Current as of January 18, 2022.

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FOUNDATIONONE®LIQUID CDx

PATIENT

TUMOR TYPE
Prostate cancer (NOS)

REPORT DATE

ORDERED TEST #

THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

Niraparib

Assay findings association

BRCA2
L1908fs*2

AREAS OF THERAPEUTIC USE

The PARP inhibitor niraparib is FDA approved to treat patients with epithelial ovarian, fallopian tube, or primary peritoneal cancer, with or without homologous recombination deficiency (HRD)-positive status. Please see the drug label for full prescribing information.

GENE ASSOCIATION

THERAPIES WITH CLINICAL BENEFIT

IN PATIENT'S TUMOR TYPE

Olaparib

Assay findings association

BRCA2
L1908fs*2

AREAS OF THERAPEUTIC USE

The PARP inhibitor olaparib is FDA approved to treat patients with epithelial ovarian, Fallopian tube, or primary peritoneal cancer, patients with deleterious or suspected deleterious gBRCA-mutated pancreatic adenocarcinoma or HER2-negative breast cancer, and patients with prostate cancer and mutations in homologous recombination repair genes. Olaparib is also approved in combination with bevacizumab to treat patients with ovarian, Fallopian tube, or primary peritoneal cancer with deleterious or suspected deleterious somatic or gBRCA mutation and/or genomic instability. Please see the drug label for full prescribing information.

GENE ASSOCIATION

THERAPIES WITH CLINICAL BENEFIT

IN PATIENT'S TUMOR TYPE

metastatic castration-resistant prostate cancer (mCRPC) who had progressed on at least 1 line of AR-targeted therapy in addition to at least 1 line of taxane chemotherapy reported a median radiographic PFS (rPFS) of 8.2 months and median OS of 12.6 months for patients with biallelic BRCA alterations, with a 63% (29/46) composite response rate¹⁹⁷. Patients in this trial with biallelic alterations in non-BRCA1/2 DNA repair genes

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25% (2/8) SDs for patients with previously treated prostate cancer³⁶. In the Phase 2 TOPARP-A study, 32.7% (16/49) of patients with metastatic castration-resistant prostate cancer (mCRPC), including 87.5% (14/16) of patients with a mutation in 1 or more genes affecting homologous recombination, benefited (PR, SD, and/or decline in prostate-specific antigen [PSA] levels) from olaparib treatment³⁷. Other Phase 2 studies of recurrent or metastatic prostate cancer have observed increased clinical benefit from olaparib for patients with BRCA1/2 alterations compared with patients with alterations in other homologous recombination repair (HRR) genes¹⁸⁴⁻¹⁸⁵. The Phase 2 TOPARP-B study for patients with mCRPC reported a 52.4% (11/21) ORR and 8.3-month median PFS for patients with BRCA1/2 alterations, with numerically lower ORR and PFS reported in patients with alterations in ATM (8.3% [1/12], 5.8 months) or PALB2 (33.3% [2/6], 5.3 months)¹⁸⁵. A Phase 2 trial of olaparib combined with abiraterone for genomically unselected patients with mCRPC reported improved median radiographic PFS (rPFS) with the combination, compared with abiraterone with placebo (13.8 vs. 8.2 months, HR=0.65)¹⁸⁶. A Phase 1/2 trial combining olaparib with durvalumab for patients with previously treated mCRPC reported a PSA decrease of 50% or greater (PSA50) for 52.9% (9/17) of patients; 66.7% (6/9) of responders had biallelic BRCA2 alterations¹⁸⁷. A Phase 1 trial of combination pembrolizumab and the PARP inhibitor olaparib for docetaxel-pretreated patients with mCRPC observed an ORR of 8.3% (2/24 PRs), PSA50 of 8.5% (7/82), median rPFS of 4.3 months, and median OS (mOS) of 14.4 months; homologous recombination deficiency was not

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FoundationOne®Liquid CDx: Clinical Trials Section

Prostate Cancer

Clinical Trials

- **Gene, alteration**
- **Rationale**
- **Trial Options:** Ordered by gene and prioritized based on age range, proximity to ordering medical facility, trial phase (favoring later phases), and whether trial information has been verified within the last 2 months


Select subset of currently available clinical trials for which the patient may be eligible. Includes: Trial ID, Title, Phase, Relevant Targets, and 10 closest locations to the ordering medical facility

FOUNDATIONONE® LIQUID CDx		PATIENT	TUMOR TYPE Prostate cancer (NOS)	REPORT DATE
ORDERED TEST #		CLINICAL TRIALS		
IMPORTANT NOTE Clinical trials are ordered by gene and prioritized by (in order of descending priority): age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually updated and should be investigated by the physician or research staff. This is not a comprehensive list of all available clinical trials. Clinical trials listed here may have additional enrollment criteria that may require medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see clinicaltrials.gov .				
GENE BRCA2		RATIONALE BRCA2 loss or inactivating alterations may predict sensitivity to PARP inhibitors or to ATR inhibitors.		
ALTERATION L1908fs*2				
NCT03395197		PHASE 3		
Talazoparib + Enzalutamide vs. Enzalutamide Monotherapy in mCRPC (TALAPRO-2)		TARGETS PARP		
LOCATIONS: Pennsylvania, Virginia, New Jersey, New York.				
NCT02975934		PHASE 3		
A Study of Rucaparib Verses Physician's Choice of Therapy in Patients With Metastatic Castration-resistant Prostate Cancer and Homologous Recombination Gene Deficiency		TARGETS CYP17, PARP, AR		
LOCATIONS: Delaware, Maryland, New York, Connecticut, Virginia, Toronto (Canada), Oshawa (Canada)				
NCT04288687		PHASE 2		
A Trial of Niraparib in Platinum-Sensitive Castration-Resistant Prostate Cancer With DNA Repair Defects		TARGETS PARP		
LOCATIONS: Pennsylvania				
NCT03221400		PHASE 1/2		
PEN-866 in Patients With Advanced Solid Malignancies		TARGETS PARP, HSP90		
LOCATIONS: Pennsylvania, Maryland, Virginia, Michigan, South Carolina, Tennessee, Florida				
NCT03047135		PHASE 2		
Olaparib in Men With High-Risk Biochemically-Recurrent Prostate Cancer Following Radical Prostatectomy, With Integrated Biomarker Analysis		TARGETS PARP		
LOCATIONS: Pennsylvania, Maryland, Nebraska				
NCT04038502		PHASE 2		
Carboplatin or Olaparib for BRCA Deficient Prostate Cancer		TARGETS PARP		
LOCATIONS: Pennsylvania, District of Columbia, New York, North Carolina, Michigan, Illinois, Florida, Washington, California				

Current as of January 18, 2022.

FoundationOne®Liquid CDx: Appendices

Prostate Cancer

 FOUNDATIONONE® LIQUID CDx

PATIENT

TUMOR TYPE
Prostate cancer (NOS)

REPORT DATE

ORDERED TEST #

APPENDIX

Information Provided as a Professional Service

NOTE One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

EGFR G1022V	GNAS P240Q	IRS2 S903_M904insLPS	KDM6A W1239_G1242del
KEL D339E	LYN K301R	MSH6 P1073S	MST1R V1096fs*7
PTPRO R684H	XRCC2 E207G	ZNF703 L534F	

Variants of Unknown Significance (VUS)

Alterations not adequately characterized in the scientific literature and/or have unclear significance based on genomic context

**VUS are included for completeness should the alterations become clinically meaningful in the future.*

FoundationOne®Liquid CDx: Appendices (cont.)

INTENDED USE

FoundationOne Liquid CDx is a qualitative next generation sequencing based *in vitro* diagnostic test that uses targeted high throughput hybridization-based capture technology to detect and report substitutions, insertions and deletions (indels) in 311 genes, including rearrangements and copy number losses only in *BRCA1* and *BRCA2*. FoundationOne Liquid CDx utilizes circulating cell-free DNA (cfDNA) isolated from plasma derived from anti-coagulated peripheral whole blood of cancer patients collected in FoundationOne Liquid CDx cfDNA blood collection tubes included in the FoundationOne Liquid CDx Blood Sample Collection Kit. The test is intended to be used as a companion diagnostic to identify patients who may benefit from treatment with the targeted therapies listed in Table 1 in accordance with the approved therapeutic product labeling. Additionally, FoundationOne Liquid CDx is intended to provide tumor mutation profiling for substitutions and

WARNINGS AND PRECAUTIONS

1. Alterations reported may include somatic (not inherited) or germline (inherited) alterations; however, the test does not distinguish between germline and somatic alterations. If a reported alteration is suspected to be germline, confirmatory testing should be considered in the appropriate clinical context.
2. The test is not intended to replace germline testing or to provide information about cancer predisposition.
3. Patients for whom no companion diagnostic alterations are detected should be considered for confirmation with an FDA-approved tumor tissue test, if available.

TEST PRINCIPLE

The FoundationOne Liquid CDx assay is performed exclusively as a laboratory service using circulating cell-free DNA (cfDNA) isolated from plasma derived from anti-coagulated peripheral whole blood from patients with solid malignant neoplasms. The assay employs a single DNA extraction method to obtain cfDNA from plasma from whole blood. Extracted cfDNA undergoes whole-genome shotgun library construction and hybridization-based capture of 324 cancer-related genes. All coding exons of 311 genes are targeted; select intronic or non-coding regions are targeted in *BRCA1* and *BRCA2*. Hybridization-based capture is performed with

LIMITATIONS

1. For *in vitro* diagnostic use.
2. For prescription use only. This test must be ordered by a qualified medical professional in accordance with clinical laboratory regulations.
3. Genomic findings other than those listed in Table 1 of the intended use are not prescriptive or conclusive for labeled use of any specific therapeutic product.
4. A negative result does not rule out the presence of an alteration in the patient's tumor.
5. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as

LEVEL 1: COMPANION DIAGNOSTICS (CDx)

Clinical evidence should be presented from a prospectively designed clinical trial. Results can also be presented from a retrospective clinical bridging study demonstrating that the clinical endpoints are preserved using plasma samples in trials where enrollment was based on tissue test results. For follow-on markers, a clinical concordance study demonstrating non-inferiority to the original FDA-approved cfDNA-based companion diagnostic device (refer to Li, Meijuan. Statistical Methods for Clinical Validation of Companion Diagnostic Devices via an Interim Study. *Statistics in Research*. 8: 35-363, 2016) is

About FoundationOne®Liquid CDx

- Intended Use (FDA approved indications)
- Test Principle, Performance Characteristics, Limitations, Levels of Evidence

PERFORMANCE CHARACTERISTICS

Please refer to product label:
foundationmedicine.com/F1LCDx

FoundationOne®Liquid CDx: Appendices (cont.)

FOUNDATIONONE® LIQUID CDx

PATIENT

TUMOR TYPE
Prostate cancer (NOS)

REPORT DATE

APPENDIXGenes assayed in FoundationOne®Liquid CDx

ORDERED TEST #

Table 2: As part of its FDA-approved intended use, the FoundationOne Liquid CDx assay interrogates 324 genes, including 309 genes with complete exonic (coding) coverage and 15 genes with only select noncoding coverage (indicated with an *). Select regions in 75 genes (indicated in bold) are captured with increased sensitivity. Genes are captured for increased sensitivity with complete exonic (coding) coverage unless otherwise noted.

ABL1 Exons 4-9	ACVR1B	AKT1 Exon 3	AKT2	AKT3	ALK Exons 20-29, Introns 18, 19	ALOX12B	AMER1 (FAM123B)	APC
AR	ARAF Exons 4, 5, 7, 11, 13, 15, 16	ARFRP1	ARID1A	ASXL1	ATM	ATR	ATRX	AURKA
AURKB	AXIN1	AXL	BAP1	BARD1	BCL2	BCL2L1	BCL2L2	BCL6
BCOR	BCORL1	BCR* Introns 8, 13, 14	BRAF Exons 11-18, Introns 7-10	BRCA1 Introns 2, 7, 8, 12, 16, 19, 20, Intron 2	BRCA2	BRD4	BRIP1	BTG1
BTG2	BTK Exons 2, 15	C11orf30 (EMSY)	C17orf39 (GSD4)	CALR	CARD11	CASP8	CBFB	CBL
CCND1	CCND2	CCND3	CCNE1	CD22	CD70	CD74* Introns 6-8	CD79A	CD79B
CD274 (PD-L1)	CDC73	CDH1	CDK12	CDK4	CDK6	CDK8	CDKN1A	CDKN1B
CDKN2A	CDKN2B	CDKN2C	CEBPA	CHEK1	CHEK2	CIC	CREBBP	CRKL
CSF1R	CSF3R	CTCF	CTNNA1	CTNNB1 Exon 3	CUL3	CUL4A	CXCR4	CYP17A1
DAXX	DDR1	DDR2 Exons 5, 17, 18	DIS3	DNMT3A	DOTIL	EED	EGFR Introns 7, 15, 24-27	EP300
EPHA3	EPHB1	EPHB4	ERBB2	ERBB3 Exons 3, 6, 7, 8, 10, 12, 20, 21, 23, 24, 25	ERBB4	ERCC4	ERG	ERF1
ESR1 Exons 4-8	ETV4* Intron 8	ETV5* Introns 6, 7	ETV6* Introns 5, 6	EWSR1* Introns 7-13	EZH2 Exons 4, 16, 17, 18	EZR* Introns 9-11	FAM46C	FANCA
FANCC	FANCG	FANCL	FAS	FBXW7	FGF10	FGF12	FGF14	FGF19
FGF23	FGF3	FGF4	FGF6	FGFR1 Introns 1, 5, Intron 17	FGFR2 Intron 1, Intron 17	FGFR3 Exons 7, 9 (Alternative designation exon 10), 14, 18, Intron 17	FGFR4	FH
FLCN	FLT1	FLT3 Exons 14, 15, 20	FOXL2	FUBP1	GABRA6	GATA3	GATA4	GATA6
GNAI1 Exons 4, 5	GNAI3	GNAQ Exons 4, 5	GNAS Exons 1, 8	GRM3	GSK3B	H3F3A	HDAC1	HGF
HNFI1A	HRAS Exons 2, 3	HSD3B1	ID3	IDH1 Exon 4	IDH2 Exon 4	IGF1R	IKBKE	IKZF1
INPP4B	IRF2	IRF4	IRS2	JAK1	JAK2 Exon 14	JAK3 Exons 5, 11, 12, 13, 15, 16	JUN	KDMSA

Genes Assayed in FoundationOne®Liquid CDx
FDA-approved intended use list interrogates 324 genes, including 309 genes with complete exonic (coding) coverage and 15 genes with only select non-coding coverage; select genes and exons are captured with increased sensitivity

FoundationOne®Liquid CDx: Appendices (cont.)

QUALIFIED ALTERATION CALLS (EQUIVOCAL)

All equivocal calls, regardless of alteration type, imply that there is adequate evidence to call the alteration with confidence. However, the repeatability of equivocal calls may be lower than non-equivocal calls.

PROFESSIONAL SERVICES FINDINGS

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. *Note:* A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

RANKING OF ALTERATIONS AND THERAPIES

Biomarker and Genomic Findings

Therapies are ranked based on the following criteria: Therapies with clinical benefit in patient's tumor type (ranked alphabetically within each NCCN category) followed by therapies with clinical benefit in other tumor type (ranked alphabetically within each NCCN category).

Clinical Trials

Pediatric trial qualification → Geographical proximity → Later trial phase.

NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN) CATEGORIZATION

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) (www.nccn.org). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given therapy in association with each biomarker or genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories please refer to the NCCN Compendium®. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). © National Comprehensive Cancer

Network, Inc. 2020. All rights reserved. To view the most recent and complete version of the guideline, go online to NCCN.org. NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any way.

LEVEL OF EVIDENCE NOT PROVIDED

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

NO GUARANTEE OF CLINICAL BENEFIT

This report makes no promises or guarantees that a particular drug will be effective in the treatment of disease in any patient. This report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

NO GUARANTEE OF REIMBURSEMENT

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne Liquid CDx.

TREATMENT DECISIONS ARE THE RESPONSIBILITY OF THE PHYSICIAN

Drugs referenced in this report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating physician. Indeed, the information in this report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this test, or the information contained in this report.

Certain sample of variant characteristics may result in reduced sensitivity. These include: low sample quality; deletions and insertions >40bp, or repetitive/high homology sequences. FoundationOne Liquid CDx is performed using cell-free DNA, and as such germline events may not

be reported.

TUMOR MUTATIONAL BURDEN

Tissue TMB and blood TMB (bTMB) are estimated from the number of synonymous and non-synonymous single-nucleotide variants (SNVs) and insertions and deletions (indels) per area of coding genome sampled, after the removal of known and likely oncogenic driver events and germline SNPs. Tissue TMB is calculated based on variants with an allele frequency of ≥5%, and bTMB is calculated based on variants with an allele frequency of ≥0.5%.

TUMOR FRACTION

Tumor fraction is the percentage of circulating-tumor DNA (ctDNA) present in a cell-free (cf) (ctDNA) sample. The tumor fraction estimate is computationally derived from observed an instability in the sample.

VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING

The variants indicated for consideration of up germline testing are 1) limited to report short variants with a protein effect listed in ClinVar genomic database (Landrum et al., 2016) as Pathogenic, Pathogenic/Likely Pathogenic, or Likely Pathogenic (by an expert panel or multiple submitters with no conflict associated with hereditary cancer-predisposing disorder(s)), 2) detected at an allele frequency >30%, and 3) in select genes reported by the Precision Medicine Working Group (Mand et al., 2019; 31050713) to have a greater than 1 probability of germline origin if identified in tumor sequencing. The selected genes are BRCA1, BRCA2, BRIP1, FH, FLCN, MLH1, MSH6, MUTYH, PALB2, PMS2, POLE, RAD51, RAD51D, RET, SDHA, SDHB, SDHC, SDHD, and VHL, and are not inclusive of all cancer susceptibility genes. The content in this report should not substitute for genetic counseling or follow-up germline testing, which is needed to distinguish whether a finding in this patient's tumor sequencing is germline or somatic. Interpretation should be based on clinical

MICROSATELLITE STATUS

Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the tumor genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor. The MSI algorithm is based on genome wide analysis of 1765 microsatellite loci and not based on the 5 or 7 MSI loci described in current clinical

SELECT ABBREVIATIONS

ABBREVIATION	DEFINITION
CR	Complete response
DCR	Disease control rate
DNMT	DNA methyltransferase
HR	Hazard ratio
ITD	Internal tandem duplication
MMR	Mismatch repair
Muts/Mb	Mutations per megabase
NOS	Not otherwise specified
ORR	Objective response rate
OS	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
TKI	Tyrosine kinase inhibitor

Information Provided as a Professional Service

Several categories of information:

- Qualified Alteration Calls, Rankings, Qualifiers
- Responsibility of Physician for Treatment Decisions
- Variants to Consider for Follow-up Germline Testing
- Select Abbreviations

FoundationOne®Liquid CDx: Appendices (cont.)

FOUNDATIONONE® LIQUID CDx		PATIENT	TUMOR TYPE	REPORT DATE
		Prostate cancer (NOS)		
ORDERED TEST #		APPENDIX		
		References Associated with Professional Services Content		
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